

00610

**MANAGEMENT PROGRAMME / P.G.
DIPLOMA IN FINANCIAL MARKETS
PRACTICE**

Term-End Examination

June, 2015

**MFP-5 : PROFESSIONALS IN FINANCIAL
MARKET PRACTICE**

Time : 3 hours

Maximum Marks : 100

Note : Attempt any five questions. All questions carry equal marks.

1. What is the role of a 'Registrar and Transfer Agent (RTA)' in financial markets ? Discuss code of conduct prescribed for RTAs in India.
2. What is an 'Offer Document' ? Explain in brief the contents of a 'Prospectus' and discuss the qualities of a good prospectus.
3. What do you understand by Venture Capital ? In what form such capital is provided and at what stages ? Why do the Venture Capitalist exit from the Venture Capital undertaking ? Discuss.
4. What is a 'Financial Product' ? Briefly discuss the different types of financial products and explain their important features.
5. Describe the different channels for distribution of Banking Products and discuss the emerging trends in marketing and distribution of these products.

6. What is good 'Corporate Governance' ? Why is it significant for a Company and the Economy as a whole ? Discuss the role and powers of Audit Committee in this regard.
 7. Explain the different types of reports prepared by Research and Analysis firms. Discuss the various ingredients of a 'Company Report'.
 8. Discuss the various kinds of training opportunities which are available in the financial as well as non-financial sector.
-