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**MANAGEMENT PROGRAMME /
POST GRADUATE DIPLOMA IN FINANCIAL
MARKETS PRACTICE**

Term-End Examination

December, 2015

MFP-1 : EQUITY MARKETS

Time : 3 hours

Maximum Marks : 100

Note : (i) Attempt any five questions.

(ii) All questions carry equal marks.

1. Explain different types of risks in the financial system. Point out the relationship between risk and return in Financial Markets.
2. Explain the role of different Intermediaries that are involved in the process of settlement of securities transactions.
3. What do you mean by 'Application Supported by Blocked Amount' (ASBA) ? Describe the process involved for ASBA and discuss its advantages.
4. What is 'Rolling Settlement' ? Describe the procedure involved in Rolling Settlement and discuss its advantages.
5. Discuss the role of the following in protecting the investors' interests :
 - (a) Securities and Exchange Board of India (SEBI).
 - (b) Stock Exchanges.

6. Explain the meaning and significance of 'Technical Analysis'. Discuss the popular Charting Techniques for Technical Analysis.
 7. Why do the investors need to have trading strategies while investing in Financial Markets ? Explain the popular trading strategies and discuss the factors affecting them.
 8. Explain the concepts of 'Stock Split' and 'Rights Issue'. Describe the adjustment processes for these corporate actions with the help of suitable examples.
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